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Attention: Group Art Unit: 2153

Fax: (571) 273-8300

Examiner: Sean M. Reilly

UNITED STATES PATENT AND TRADEMARK OFFICE

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Pages: Cover + 2 + 1 + 6 = 10

Date: December 14, 2005

From: Georgann S. Grunebach

Fax: (310) 964-0941

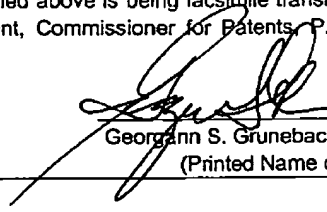
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Georgann S. Grunebach, Reg. No. 33,179  
(Printed Name of Depositor)

December 14, 2005  
(Date of Signature)

Re: Serial No.: 09/938,430  
Filing Date: August 23, 2001

Attorney Docket No. PD-201117

Please find attached:

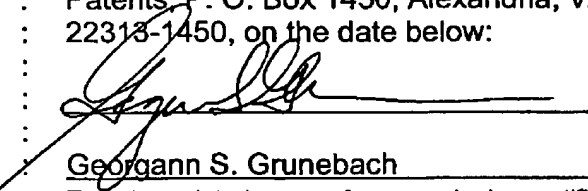
- INFORMATION DISCLOSURE STATEMENT (2 pages)
- SUBSTITUTE FORM PTO 1449 (1 page)
- NON-PATENT LITERATURE "Lucent Security Management Server" (6 pages)

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PD-201117  
Customer No. 020991**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Douglas A. Cheline : I hereby certify that this correspondence is  
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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97 (c)**Mail Stop Amendment  
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Dear Sir:

The references cited on the enclosed form PTO/SB/08A may be relevant or material to the examination of the above-identified patent application and are, therefore, submitted in compliance with the duty of disclosure defined in 37 C.F.R. §1.56.

The references were cited in an Office Action dated November 30, 2005 in related patent application serial number 09/938,443 filed on August 23, 2001.

This statement should be considered because it is submitted after the mailing date of a first Office action on-the-merits, but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

Serial No. 09/938,430.....Page 2

No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. §1.97(e)

No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the information disclosure statement (certification under 37 C.F.R. § 1.97 (e)(2)).

This Information Disclosure Statement is not to be construed as a representation that a search has recently been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,

By: 

Georgann S. Grunebach, Reg. No. 33,179

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December 14, 2005

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)	<b>Complete if Known</b>	
	Application Number	09/938,430
	Filing Date	August 23, 2001
	First Named Inventor	Douglas A. Cheline
	Art Unit	2153
Examiner Name	Sean M. Reilly	
Sheet 1 of 1	Attorney Docket Number	PD-201117

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-2002/0026503	02-2002	Bendinelli et al.	
		US-6,711,138	03-2004	Pai et al.	
		US-6,662,221	12-2003	Gonda et al.	
		US-6,765,591	07-2004	Poisson et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		(AUTHOR UNKNOWN); "Lucent Security Management Server: Carrier-Grade IP Services Management Solution"; Lucent Technologies; Published May 2001	

Examiner Signature		Date Considered	
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